



KLJ Resources Limited

HEAD OFFICE : KLJ HOUSE, 63, RAMA MARG, NAJAFGARH ROAD, NEW DELHI-110 015 (INDIA)
TEL. : (011) 25459706-7-8, 41427427-8-9, 45371400 **FAX :** (0091-11) 25458767, 25459709
E-MAIL : krl@kljindia.com **WEBSITE :** www.kljindia.com **CIN :** L67120WB1986PLC041487

Date: 20.07.2020

To,

The Secretary,
The Calcutta Stock Exchange Ltd,
7, Lyons Range, Dalhousie
Kolkata – 700 001, West Bengal

CSE SECURITY CODE: 021095

Sub: Annual Secretarial Compliance Report under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,


Please find enclosed herewith Annual Secretarial Compliance Report for the year ended on 31st March, 2020 in compliance with Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.


Kindly take the same on record and oblige.

Thanking You,

Yours Sincerely,

For **KLJ RESOURCES LIMITED**


(Ajai Kumar Gupta)
Company Secretary
Membership No.: FCS8020



Encl: As Stated

REGISTERED OFFICE : "Shanti Niketan Building" Suite No. 22, Ground Floor, 8, Camac Street, KOLKATA – 700 017
TEL. : (0091-33) 22823851 **FAX :** (0091-33) 22823851 **E-MAIL :** kolkata@kljindia.com

MUMBAI OFFICE : HUBTOWN SOLARIS, 403/404, 4th Floor, N. S. Phadke Marg, Near Andheri East West Flyover, Andheri (East),
MUMBAI-400 069. ● **TEL. :** (022) 61830000-100 **FAX :** (0091-22) 26244009 **E-MAIL :** mumbai@kljindia.com

Deepti Chawla & Associates
Company Secretaries

102,DDA SFS Flats
Sector 3, Dwarka
New Delhi-110 078
Ph: 9971067554
Email Id:dchawla.cs@gmail.com

**SECRETARIAL COMPLIANCE REPORT
OF
KLJ RESOURCES LIMITED
FOR THE FINANCIAL YEAR ENDED 31st March, 2020**

To
The Board of Directors
KLJ Resources Limited
8 Cammac Street
Kolkata-700 017

I, **Deepti Chawla**, Proprietor of **Deepti Chawla & Associates, Company Secretaries** have examined:

- (a) all the documents and records made available to us and explanation provided by **KLJ Resources Limited ("the listed entity") (CIN: L67120WB1986PLC041487)**. The equity shares of KLJ Resources Limited are listed on **Calcutta Stock Exchange Limited** wherein the trading platform is presently non functional.
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
for the year ended March 31, 2020 ("Review Period") in respect of compliance with the provisions of :
 - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not applicable during the reporting period;**

**CERTIFIED TO BE TRUE COPY
For KLJ Resources Limited
Company Secretary**



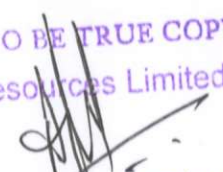
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Buy back of Securities) Regulations, 2018- **Not applicable during the review period;**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014- **Not applicable during the review period;**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008- **Not applicable during the review period;**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013- **Not applicable during the review period;**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under except in respect of the matters specified below:

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/Remarks of the Practising Company Secretary
1.	Regulation 17(1)(b) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	There was an intermittent gap in appointment of an independent Director owing to the resignation of an Independent Director, Sh. Sukh Raj Sethia on 16 th March 2020 due to complete lockdown in the wake of COVID 19 pandemic.	The Company however, have duly appointed an Independent Director after lockdown has been partially opened.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my examination of those records.

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For KLJ Resources Limited

Company Secretary



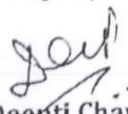
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if an
NIL				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE DURING THE REVIEW PERIOD				

For Deepti Chawla & Associates
Company Secretaries


Deepti Chawla
Proprietor
ACS No.: 15008
C P No.: 8759



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For KLJ Resources Limited


Company Secretary

Place : New Delhi
Date : 17th July 2020

UDIN: A015008B000466448